

CFD Account Opening Form – Private Individuals and Trusts

This form must be read with and forms part of the signed CFD Terms and Conditions.

New client		Amendments	
(Complete all sections.)		(Complete sections requiring changes.)	

A minimum deposit of R20 000 is required to open an CFD account with Nedbank Capital, a division of Nedbank Limited ('Nedbank Capital').

Individual		Trust	
		(Begin at Section D.)	

Section A – Personal details

Title			
Surname			
First name(s)			
Marital status			
Married (In or out of COP)			
Preferred name			
Date of birth (dd/mm/yyyy)			
Nationality			
Source document	Identity document		Passport
Identity No/Passport No (if no ID No)			
Physical address			
Postal code			
Postal address			
Postal code			
Country of residence			
Telephone (work)			
Telephone (home)			
Fax			
Cellphone number			
Email address			
Income tax number			

Residence indicator	SA resident		Non-resident	
Previously sequestered	Yes		No	
If YES, please provide a brief explanation.				
Date rehabilitated				
Gross monthly income of individual	0-50K	50K-100K	100K-200K	200K+
Net asset value of individual	0-500K	500K-1M	1M-5M	5M+

Section B – Nedbank Staff

Nedbank employee	Yes		No	
Staff number				

Section C – Employment details

I am	Self-employed	Employed	Retired	Not working at present
Occupation				
Employer's name				
Employer's address				
Staff number (if any)				
Town/City				
Postal code				
Country				
Period with current employer				

Section D – Trusts (Applicable to trusts only)

Trust name				
Trust reference number				
Gross monthly income of trust	0-50K	50K-100K	100K-200K	200K+
Net asset value of trust	0-500K	500K-1M	1M-5M	5M+

Person authorised to act on behalf of the trust and who will receive all correspondence				
Trustee details				
Full names				
Date of birth				
Identity number/Passport number				
Physical address				
Postal code				

Postal address	
Postal code	
Country of residence	
Telephone (work)	
Telephone (home)	
Fax number	
Cellphone number	
Email address	

Trustee details	
Full names	
Date of birth	
Identity number/Passport number	
Physical address	
Postal code	
Postal address	
Postal code	
Country of residence	
Telephone (work)	
Telephone (home)	
Fax number	
Cellphone number	
Email address	

Note: If space is insufficient, please provide details of further trustees on separate sheet with details as required above.

Section E – Bank details

Name of bank	
Name of account	
Account number	
Branch number	
Type of account	

Note: These bank details can only be altered by going through the FICA process again.

Section F – Details of the intermediary, if any

Complete only if A or B below is applicable.

A	The applicant has authorised the intermediary, detailed below, to trade for and on behalf of the applicant on the applicant's account, to view the applicant's account and to provide research and/or advice.			
	Yes	The intermediary is authorised to trade on the applicant's account, view the applicant's account and provide research and/or advice.	No	The intermediary is NOT authorised to trade on the applicant's account but can provide research and/or advice.
B	If 'no' is ticked above, then			
	Yes	The intermediary is allowed to view the applicant's account.	No	The intermediary is NOT allowed to view the applicant's account.

Where the intermediary is a legal entity:

Registered name	
Trading name	
Financial services provider licence number	
Contact person	
Physical address	
Postal code	
Postal address	
Postal code	
Telephone (work)	
Fax number	
Cellphone number	
Email address	

Where the intermediary is an individual:

Surname	
First name(s)	
Nationality	
Identity number/Passport number	
Financial services provider licence number	
Residential address	
Postal code	
Postal address	
Postal code	

Telephone (work)	
Fax number	
Cellphone number	
Email address	

Section G – Intermediary fee

- The applicant acknowledges that Nedbank will pay an intermediary fee, if applicable, to the intermediary, detailed in section F above, on every trade that is executed on this account.
- The applicant confirms that the initial intermediary fee, to be paid by Nedbank Capital to the intermediary, will be (basis points) _____.
- The intermediary will advise Nedbank Capital when the initial intermediary fee mentioned above has changed and the intermediary will provide Nedbank Capital with the applicant’s written confirmation of such a change.

Section H – Declarations and acknowledgements

- The applicant acknowledges that an execution premium percentage of 0.40% (40 basis points) will be paid to Nedbank.
- The applicant hereby confirms that all details provided in this form are true and correct.
- The applicant undertakes to inform Nedbank Capital immediately in writing of any changes to the details contained herein.
- The applicant hereby confirms that in completing this Account Opening Form no financial advice, guidance, recommendation or proposal was received from Nedbank Capital for the purpose of purchasing or selling CFD derivatives.
- The applicant confirms he/she has read and understood the CFD terms and conditions and is aware of all the risks inherent in purchasing and selling CFDs.

.....
Print name and capacity (if individual)

.....
Print name and capacity (if not individual)

.....
Signed

.....
Signed

.....
Date

.....
Date

Section I – Documents to accompany this application form

Individuals

	Certified copy of identity document/passport
	Certified copy of either latest utility bill, tax return or bank statement indicating client's physical address
	3 months current bank statement or latest payslip

Note: Certification stamps are to be original and not copies of a copy.

Trusts

	Certified copy of the trust deed and letters of authority
	Resolution to be signed by ALL trustees authorising a particular person to act on behalf of a trust, with certified copy of authorised person's identity document and utility bill indicating physical address. Draft resolution attached.
	Certified copy of ALL trustees' identity documents, all beneficiaries' identity documents, if mentioned by name in the trust deed.
	Certified copies of either latest utility bill, tax return or bank statement indicating trustee's physical address.

Section J – Feedback

For the purposes of market research, please indicate where you heard about CFDs. Tick the appropriate block.

	Nedbank CFD website
	Newspaper
	Please specify which newspaper:
	Radio
	Please specify which radio station:
	Magazine
	Please specify which magazine:
	Seminars